

On CNF Conversion for Disjoint SAT Enumeration

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Outline

1. Preliminaries
2. Problems of CNF-ization for AllSAT
3. Our solution
4. Experimental results
5. Conclusions & Future Work

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SAT enumeration (AllSAT)

The AllSAT problem

AllSAT is the task of enumerating all the models of a Propositional formula φ :

- ▶ a set of *total* models $\mathcal{TA}(\varphi)$
- ▶ a set of *partial* models $\mathcal{PA}(\varphi)$ s.t.:
 - (a) $\mu \models \varphi$ for all $\mu \in \mathcal{PA}(\varphi)$
 - with μ partial, by “ $\mu \models \varphi$ ” we mean “ $\varphi|_{\mu} = \top$ ” ¹ ²
 - (b) every $\eta \in \mathcal{TA}(\varphi)$ is a super-assignment of some $\mu \in \mathcal{PA}(\varphi)$

¹R. Sebastiani (2020). *Are You Satisfied by This Partial Assignment?* [arXiv: 2003.04225](#)

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A partial model μ represents 2^k total models, where $k = \#$ of unassigned atoms in μ
 \implies we want to enumerate partial models that are as short as possible (possibly *minimal*)

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SAT enumeration (AllSAT)

The *disjoint* AllSAT problem

Disjoint AllSAT is the task of enumerating all the models of a Propositional formula φ :

- ▶ a set of *total* models $\mathcal{TA}(\varphi)$
- ▶ a set of **disjoint** *partial* models $\mathcal{PA}(\varphi)$ s.t.:
 - (a) $\mu \models \varphi$ for all $\mu \in \mathcal{PA}(\varphi)$
 - with μ partial, by “ $\mu \models \varphi$ ” we mean “ $\varphi|_{\mu} = \top$ ”^{1 2}
 - (b) every $\eta \in \mathcal{TA}(\varphi)$ is a super-assignment of some $\mu \in \mathcal{PA}(\varphi)$
 - (c) every pair $\mu_i, \mu_j \in \mathcal{PA}(\varphi)$ assigns opposite truth values to at least one atom

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Disjointness needed in many applications like #SMT, Weighted Model Integration (WMI)

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Projected AllSAT

The Projected AllSAT problem

Given $\varphi(\mathbf{A} \cup \mathbf{B})$ s.t. $\mathbf{A} \cap \mathbf{B} = \emptyset$ and \mathbf{A} is a set of *relevant atoms*.

Enumerate the models of φ *projected* over \mathbf{A} , i.e. $\mathcal{TA}(\exists \mathbf{B}.\varphi(\mathbf{A} \cup \mathbf{B}))$.

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Minimal disjoint Projected AllSAT in practice

Enumerate partial truth assignments $\mu_1, \dots, \mu_i, \dots, \mu_N$, where each $\mu_i \stackrel{\text{def}}{=} \mu_i^{\mathbf{A}} \cup \eta_i^{\mathbf{B}}$ is s.t.^a:

- (i) (*satisfiability*) $\mu_i \models \varphi$
- (ii) (*disjointness*) for each $j < i$, $\mu_i^{\mathbf{A}}, \mu_j^{\mathbf{A}}$ assign opposite truth values to some atom in \mathbf{A}
- (iii) (*minimality*) $\mu_i^{\mathbf{A}}$ is *minimal*: no literal can be dropped from it without losing properties (i) and (ii)

\Rightarrow return $\{\mu_i^{\mathbf{A}}\}_{i=1}^N$

^a $\mu_i^{\mathbf{A}}$ is a *partial* assignment over \mathbf{A} and $\eta_i^{\mathbf{B}}$ is a *total* assignment over \mathbf{B}

What about non-CNF formulas?

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Tseitin encoding

Consider:

- ▶ a Propositional formula $\varphi(\mathbf{A})$
- ▶ its Tseitin encoding $\text{CNF}_{\text{Ts}}(\varphi)(\mathbf{A} \cup \mathbf{B})$ where \mathbf{B} is the set of *fresh* labels
- ▶ **Intuition:** $\text{CNF}_{\text{Ts}}(\varphi)$ recursively rewritten as $\text{CNF}_{\text{Ts}}(\varphi[\varphi_i|B_i]) \wedge \text{CNF}_{\text{Ts}}(B_i \leftrightarrow \varphi_i)$,
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Then

- ▶ $\varphi(\mathbf{A}) \equiv \exists \mathbf{B}. \text{CNF}_{\text{Ts}}(\varphi)(\mathbf{A} \cup \mathbf{B})$
- ▶ $\mathcal{TA}(\varphi(\mathbf{A}))$ can be enumerated as $\mathcal{TA}(\exists \mathbf{B}. \text{CNF}_{\text{Ts}}(\varphi)(\mathbf{A} \cup \mathbf{B}))$
 \implies project the models of $\text{CNF}_{\text{Ts}}(\varphi)$ onto \mathbf{A} only

Let $\varphi \stackrel{\text{def}}{=} (A_1 \wedge A_2) \vee (((A_3 \vee A_4) \wedge (A_5 \vee A_6)) \leftrightarrow A_7)$

$$\text{Let } \varphi \stackrel{\text{def}}{=} \underbrace{(A_1 \wedge A_2)}_{B_1} \vee \left(\underbrace{\left(\underbrace{(A_3 \vee A_4)}_{B_2} \wedge \underbrace{(A_5 \vee A_6)}_{B_3} \right)}_{B_4} \leftrightarrow A_7 \right)_{B_5}$$

$$\begin{aligned} \text{CNF}_{\text{Ts}}(\varphi) &\stackrel{\text{def}}{=} (\neg B_1 \vee A_1) \wedge (\neg B_1 \vee A_2) \wedge (B_1 \vee \neg A_1 \vee \neg A_2) \quad \wedge \quad // (B_1 \leftrightarrow (A_1 \wedge A_2)) \\ &\quad (B_2 \vee \neg A_3) \wedge (B_2 \vee \neg A_4) \wedge (\neg B_2 \vee A_3 \vee A_4) \quad \wedge \quad // (B_2 \leftrightarrow (A_3 \vee A_4)) \\ &\quad (B_3 \vee \neg A_5) \wedge (B_3 \vee \neg A_6) \wedge (\neg B_3 \vee A_5 \vee A_6) \quad \wedge \quad // (B_3 \leftrightarrow (A_5 \vee A_6)) \\ &\quad (\neg B_4 \vee B_2) \wedge (\neg B_4 \vee B_3) \wedge (B_4 \vee \neg B_2 \vee \neg B_3) \quad \wedge \quad // (B_4 \leftrightarrow (B_2 \wedge B_3)) \\ &\quad (\neg B_5 \vee B_4 \vee \neg A_7) \wedge (\neg B_5 \vee \neg B_4 \vee A_7) \quad \wedge \quad // (B_5 \leftrightarrow (B_4 \leftrightarrow A_7)) \\ &\quad (B_5 \vee B_4 \vee A_7) \wedge (B_5 \vee \neg B_4 \vee \neg A_7) \quad \wedge \\ &\quad (B_1 \vee B_5) \end{aligned}$$

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Problem

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E.g., $\eta^{\mathbf{B}} \stackrel{\text{def}}{=} \{\neg B_2, \dots\}$

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E.g., $\eta^{\mathbf{B}} \stackrel{\text{def}}{=} \{\neg B_2, \neg B_4, B_5, \neg B_1, \neg B_3\} \implies (\neg A_1 \vee \neg A_2)$ and $(\neg A_5 \wedge \neg A_6)$ are not satisfied

The impact of Tseitin encoding

The problem

$$\mu^{\mathbf{A}} \models \varphi(\mathbf{A}) \not\Rightarrow \mu^{\mathbf{A}} \models \exists \mathbf{B}. \text{CNF}_{\text{Ts}}(\varphi)$$

i.e., it **does not** imply that some $\eta^{\mathbf{B}}$ exists s.t. $\mu^{\mathbf{A}} \cup \eta^{\mathbf{B}} \models \text{CNF}_{\text{Ts}}(\varphi)$.

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Intuition

Each $(B_i \leftrightarrow \varphi_i)$ **forces** every partial model $\mu^{\mathbf{A}'}$ of $\exists \mathbf{B}. \text{CNF}_{\text{Ts}}(\varphi)$ **to assign a truth value to φ_i** (and thus to some of its atoms)

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- ▶ each $\mu^{\mathbf{A}'}$ conjoins to $\mu^{\mathbf{A}}$ one of the (up to $2^{|\mathbf{A}| - |\mu^{\mathbf{A}'}|}$) partial assignments that evaluate to either \top or \perp all unassigned φ_i s

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\Rightarrow **the solver is forced to enumerate many more models than necessary!**

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\text{CNF}_{\text{Ts}}(\varphi) \stackrel{\text{def}}{=} & (\neg B_1 \vee A_1) \wedge (\neg B_1 \vee A_2) \wedge (B_1 \vee \neg A_1 \vee \neg A_2) \wedge // (B_1 \leftrightarrow (A_1 \wedge A_2)) \\
& (B_2 \vee \neg A_3) \wedge (B_2 \vee \neg A_4) \wedge (\neg B_2 \vee A_3 \vee A_4) \wedge // (B_2 \leftrightarrow (A_3 \vee A_4)) \\
& (B_3 \vee \neg A_5) \wedge (B_3 \vee \neg A_6) \wedge (\neg B_3 \vee A_5 \vee A_6) \wedge // (B_3 \leftrightarrow (A_5 \vee A_6)) \\
& (\neg B_4 \vee B_2) \wedge (\neg B_4 \vee B_3) \wedge (B_4 \vee \neg B_2 \vee \neg B_3) \wedge // (B_4 \leftrightarrow (B_2 \wedge B_3)) \\
& (\neg B_5 \vee B_4 \vee \neg A_7) \wedge (\neg B_5 \vee \neg B_4 \vee A_7) \wedge // (B_5 \leftrightarrow (B_4 \leftrightarrow A_7)) \\
& (B_5 \vee B_4 \vee A_7) \wedge (B_5 \vee \neg B_4 \vee \neg A_7) \wedge \\
& (B_1 \vee B_5)
\end{aligned}$$

Instead of a single $\mu^{\mathbf{A}} \stackrel{\text{def}}{=} \{\neg A_3, \neg A_4, \neg A_7\}$, $\eta^{\mathbf{B}} \stackrel{\text{def}}{=} \{\neg B_2, \neg B_4, B_5, \dots\}$

Enumerate 9 $\mu^{\mathbf{A}'}$ s

	$\underbrace{\hspace{10em}}_{B_1}$	$\underbrace{\hspace{10em}}_{B_3}$	
	$\{\neg A_1, \neg A_3, \neg A_4, \neg A_5, \neg A_6, \neg A_7\}$		$//(\{\neg B_1, \neg B_3\})$
	$\{\neg A_1, \neg A_3, \neg A_4, A_5, \neg A_6, \neg A_7\}$		$//(\{\neg B_1, B_3\})$
	$\{\neg A_1, \neg A_3, \neg A_4, \neg A_5, A_6, \neg A_7\}$		$//(\{\neg B_1, B_3\})$
	$\{A_1, \neg A_2, \neg A_3, \neg A_4, \neg A_5, \neg A_6, \neg A_7\}$		$//(\{\neg B_1, \neg B_3\})$
	$\{A_1, \neg A_2, \neg A_3, \neg A_4, A_5, \neg A_6, \neg A_7\}$		$//(\{\neg B_1, B_3\})$
	$\{A_1, \neg A_2, \neg A_3, \neg A_4, \neg A_5, A_6, \neg A_7\}$		$//(\{\neg B_1, B_3\})$
	$\{A_1, \neg A_2, \neg A_3, \neg A_4, \neg A_5, \neg A_6, \neg A_7\}$		$//(\{\neg B_1, \neg B_3\})$
	$\{A_1, A_2, \neg A_3, \neg A_4, \neg A_5, \neg A_6, \neg A_7\}$		$//(\{B_1, \neg B_3\})$
	$\{A_1, A_2, \neg A_3, \neg A_4, A_5, \neg A_6, \neg A_7\}$		$//(\{B_1, B_3\})$
	$\{A_1, A_2, \neg A_3, \neg A_4, \neg A_5, A_6, \neg A_7\}$		$//(\{B_1, B_3\})$

Plaisted and Greenbaum encoding

Consider:

- ▶ a Propositional formula $\varphi(\mathbf{A})$
- ▶ its P&G encoding $\text{CNF}_{\text{PG}}(\varphi)(\mathbf{A} \cup \mathbf{B})$ where \mathbf{B} is the set of *fresh* labels
- ▶ **Intuition:** $\text{CNF}_{\text{PG}}(\varphi)$ recursively rewritten as $\text{CNF}_{\text{PG}}(\varphi[\varphi_i|B_i]) \wedge \text{CNF}_{\text{PG}}(B_i \bowtie \varphi_i)$,
 φ_i subformula, B_i fresh, $\bowtie \in \{\rightarrow, \leftarrow, \leftrightarrow\}$ iff $\text{pol}(\varphi_i) \in \{\text{positive}, \text{negative}, \text{both}\}$ resp.

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Then

- ▶ $\varphi(\mathbf{A}) \equiv \exists \mathbf{B}. \text{CNF}_{\text{PG}}(\varphi)(\mathbf{A} \cup \mathbf{B})$
- ▶ $\mathcal{TA}(\varphi(\mathbf{A}))$ can be enumerated as $\mathcal{TA}(\exists \mathbf{B}. \text{CNF}_{\text{PG}}(\varphi)(\mathbf{A} \cup \mathbf{B}))$
 \implies project the models of $\text{CNF}_{\text{PG}}(\varphi)$ onto \mathbf{A} only

Let $\varphi \stackrel{\text{def}}{=} (A_1 \wedge A_2) \vee ((A_3 \vee A_4) \wedge (A_5 \vee A_6)) \leftrightarrow A_7$

$$\begin{aligned}
 \text{CNF}_{\text{PG}}(\varphi) &\stackrel{\text{def}}{=} (\neg B_1 \vee A_1) \wedge (\neg B_1 \vee A_2) && \wedge \quad // (B_1 \rightarrow (A_1 \wedge A_2)) \\
 & (B_2 \vee \neg A_3) \wedge (B_2 \vee \neg A_4) \wedge (\neg B_2 \vee A_3 \vee A_4) && \wedge \quad // (B_2 \leftrightarrow (A_3 \vee A_4)) \\
 & (B_3 \vee \neg A_5) \wedge (B_3 \vee \neg A_6) \wedge (\neg B_3 \vee A_5 \vee A_6) && \wedge \quad // (B_3 \leftrightarrow (A_5 \vee A_6)) \\
 & (\neg B_4 \vee B_2) \wedge (\neg B_4 \vee B_3) \wedge (B_4 \vee \neg B_2 \vee \neg B_3) && \wedge \quad // (B_4 \leftrightarrow (B_2 \wedge B_3)) \\
 & (\neg B_5 \vee B_4 \vee \neg A_7) \wedge (\neg B_5 \vee \neg B_4 \vee A_7) && \wedge \quad // (B_5 \leftrightarrow (B_4 \leftrightarrow A_7)) \\
 & (B_5 \vee B_4 \vee A_7) \wedge (B_5 \vee \neg B_4 \vee \neg A_7) && \wedge \\
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 \end{aligned}$$

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 & (\neg B_4 \vee B_2) \wedge (\neg B_4 \vee B_3) \wedge (B_4 \vee \neg B_2 \vee \neg B_3) && \wedge \quad // (B_4 \leftrightarrow (B_2 \wedge B_3)) \\
 & (\neg B_5 \vee B_4 \vee \neg A_7) \wedge (\neg B_5 \vee \neg B_4 \vee A_7) && \wedge \quad // (B_5 \leftrightarrow (B_4 \leftrightarrow A_7)) \\
 & (B_5 \vee B_4 \vee A_7) \wedge (B_5 \vee \neg B_4 \vee \neg A_7) && \wedge \\
 & (B_1 \vee B_5)
 \end{aligned}$$

Consider again $\mu^{\mathbf{A}} \stackrel{\text{def}}{=} \{\neg A_3, \neg A_4, \neg A_7\}$ s.t. $\mu^{\mathbf{A}} \models \varphi$. Does $\mu^{\mathbf{A}} \models \exists \mathbf{B}. \text{CNF}_{\text{PG}}(\varphi)$?

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E.g., $\eta^{\mathbf{B}} \stackrel{\text{def}}{=} \{\neg B_2, \dots\}$

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 &(\neg B_3 \vee \neg A_5) \wedge (\neg B_3 \vee \neg A_6) \wedge (\neg B_3 \vee A_5 \vee A_6) && \wedge \quad // (B_3 \leftrightarrow (A_5 \vee A_6)) \\
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E.g., $\eta^{\mathbf{B}} \stackrel{\text{def}}{=} \{\neg B_2, \neg B_4, B_5, \neg B_1, \dots\}$

► By assigning $\eta^{\mathbf{B}}(B_1) = \perp$ we can avoid assigning a truth value to A_1 and A_2 !

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Consider again $\mu^{\mathbf{A}} \stackrel{\text{def}}{=} \{\neg A_3, \neg A_4, \neg A_7\}$ s.t. $\mu^{\mathbf{A}} \models \varphi$. Does $\mu^{\mathbf{A}} \models \exists \mathbf{B}.\text{CNF}_{\text{PG}}(\varphi)$?

E.g., $\eta^{\mathbf{B}} \stackrel{\text{def}}{=} \{\neg B_2, \neg B_4, B_5, \neg B_1, \neg B_3\}$

► By assigning $\eta^{\mathbf{B}}(B_1) = \perp$ we can avoid assigning a truth value to A_1 and A_2 !

► However, still $\mu^{\mathbf{A}} \not\models \exists \mathbf{B}.\text{CNF}_{\text{PG}}(\varphi)$!

■ $(\neg A_5 \wedge \neg A_6)$ is not satisfied

The impact of Plaisted and Greenbaum encoding

Positive side

If φ_i occurs only positively, the clauses representing $(B_i \rightarrow \varphi_i)$ can be satisfied by $\eta^{\mathbf{B}}(B_i) = \perp$. [dual for negative occurrences]

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Negative side

If φ_i has double polarity \implies same problem as with CNF_{TS} :
each $\mu^{\mathbf{A}'}$ s.t. $\mu^{\mathbf{A}'} \models \exists \mathbf{B}. \text{CNF}_{\text{PG}}(\varphi)$ must assign atoms that evaluate φ_i to either \top or \perp because of $(B_i \leftrightarrow \varphi_i)$.

Outline

1. Preliminaries
2. Problems of CNF-ization for AllSAT
- 3. Our solution**
4. Experimental results
5. Conclusions & Future Work

Combining NNF and Plaisted and Greenbaum encoding

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1. Transform first the input formula into an NNF DAG (linear in size).
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$$\varphi_i^+ \stackrel{\text{def}}{=} \text{NNF}(\varphi_i) \text{ and } \varphi_i^- \stackrel{\text{def}}{=} \text{NNF}(\neg\varphi_i)$$

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$$(B_i^+ \rightarrow \varphi_i^+) \wedge (B_i^- \rightarrow \varphi_i^-)$$

- also add mutex condition $(\neg B_i^+ \vee \neg B_i^-)$ (not necessary but helps pruning the search)

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$$\text{NNF}(\varphi) \stackrel{\text{def}}{=} \overset{B_1}{(A_1 \wedge A_2)} \vee ((\overset{B_5}{(\overset{B_4^-}{(\overset{B_2^-}{\neg A_3} \wedge \neg A_4) \vee (\overset{B_3^-}{\neg A_5} \wedge \neg A_6))} \vee A_7) \wedge (\overset{B_6}{(\overset{B_4^+}{(\overset{B_2^+}{A_3} \vee A_4) \wedge (\overset{B_3^+}{A_5} \vee A_6))} \vee \neg A_7))$$

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$$\text{NNF}(\varphi) \stackrel{\text{def}}{=} (A_1 \wedge A_2) \vee ((((\neg A_3 \wedge \neg A_4) \vee (\neg A_5 \wedge \neg A_6)) \vee A_7) \wedge (((A_3 \vee A_4) \wedge (A_5 \vee A_6)) \vee \neg A_7))$$

B_7 (outermost box)
 B_5 (left inner box), B_6 (right inner box)
 B_4^- (left sub-box), B_4^+ (right sub-box)
 B_2^- (left sub-sub-box), B_3^- (right sub-sub-box), B_2^+ (left sub-sub-box), B_3^+ (right sub-sub-box)

$$\text{CNF}_{\text{PG}}(\text{NNF}(\varphi)) \stackrel{\text{def}}{=} (\neg B_1 \vee A_1) \wedge (\neg B_1 \vee A_2) \wedge // (B_1 \rightarrow (A_1 \wedge A_2))$$

$$(\neg B_2^- \vee \neg A_3) \wedge (\neg B_2^- \vee \neg A_4) \wedge // (B_2^- \rightarrow (\neg A_3 \wedge \neg A_4))$$

$$(\neg B_3^- \vee \neg A_5) \wedge (\neg B_3^- \vee \neg A_6) \wedge // (B_3^- \rightarrow (\neg A_5 \wedge \neg A_6))$$

$$(\neg B_4^- \vee B_2^- \vee B_3^-) \wedge // (B_4^- \rightarrow (B_2^- \vee B_3^-))$$

$$(\neg B_5 \vee B_4^- \vee A_7) \wedge // (B_5 \rightarrow (B_4^- \vee A_7))$$

$$(\neg B_2^+ \vee A_3 \vee A_4) \wedge // (B_2^+ \rightarrow (A_3 \vee A_4))$$

$$(\neg B_3^+ \vee A_5 \vee A_6) \wedge // (B_3^+ \rightarrow (A_5 \vee A_6))$$

$$(\neg B_4^+ \vee B_2^+ \vee B_3^+) \wedge // (B_4^+ \rightarrow (B_2^+ \vee B_3^+))$$

$$(\neg B_6 \vee B_4^+ \vee \neg A_7) \wedge // (B_6 \rightarrow (B_4^+ \vee \neg A_7))$$

$$(\neg B_7 \vee B_5) \wedge (\neg B_7 \vee B_6) \wedge // (B_7 \rightarrow (B_5 \wedge B_6))$$

$$(B_1 \vee B_7) \wedge$$

$$(\neg B_2^+ \vee \neg B_2^-) \wedge (\neg B_3^+ \vee \neg B_3^-) \wedge (\neg B_4^+ \vee \neg B_4^-)$$

$$\text{Let } \varphi \stackrel{\text{def}}{=} (A_1 \wedge A_2) \vee (((A_3 \vee A_4) \wedge (A_5 \vee A_6)) \leftrightarrow A_7)$$

$$\text{NNF}(\varphi) \stackrel{\text{def}}{=} (A_1 \wedge A_2) \vee ((((\neg A_3 \wedge \neg A_4) \vee (\neg A_5 \wedge \neg A_6)) \vee A_7) \wedge (((A_3 \vee A_4) \wedge (A_5 \vee A_6)) \vee \neg A_7))$$

$$\begin{aligned} \text{CNF}_{\text{PG}}(\text{NNF}(\varphi)) &\stackrel{\text{def}}{=} (\neg B_1 \vee A_1) \wedge (\neg B_1 \vee A_2) \wedge // (B_1 \rightarrow (A_1 \wedge A_2)) \\ &(\neg B_2 \vee \neg A_3) \wedge (\neg B_2 \vee \neg A_4) \wedge // (B_2 \rightarrow (\neg A_3 \wedge \neg A_4)) \\ &(\neg B_3 \vee \neg A_5) \wedge (\neg B_3 \vee \neg A_6) \wedge // (B_3 \rightarrow (\neg A_5 \wedge \neg A_6)) \\ &(\neg B_4 \vee B_2 \vee B_3) \wedge // (B_4 \rightarrow (B_2 \vee B_3)) \\ &(\neg B_5 \vee B_4 \vee A_7) \wedge // (B_5 \rightarrow (B_4 \vee A_7)) \\ &(\neg B_2^+ \vee A_3 \vee A_4) \wedge // (B_2^+ \rightarrow (A_3 \vee A_4)) \\ &(\neg B_3^+ \vee A_5 \vee A_6) \wedge // (B_3^+ \rightarrow (A_5 \vee A_6)) \\ &(\neg B_4^+ \vee B_2^+ \wedge B_3^+) \wedge // (B_4^+ \rightarrow (B_2^+ \wedge B_3^+)) \\ &(\neg B_6 \vee B_4^+ \vee \neg A_7) \wedge // (B_6 \rightarrow (B_4^+ \vee \neg A_7)) \\ &(\neg B_7 \vee B_5) \wedge (\neg B_7 \vee B_6) \wedge // (B_7 \rightarrow (B_5 \wedge B_6)) \\ &(B_1 \vee B_7) \wedge \\ &(\neg B_2^+ \vee \neg B_2^-) \wedge (\neg B_3^+ \vee \neg B_3^-) \wedge (\neg B_4^+ \vee \neg B_4^-) \end{aligned}$$

$$\begin{aligned} \text{Let } \mu^{\mathbf{A}} &\stackrel{\text{def}}{=} \{\neg A_3, \neg A_4, \neg A_7\} \\ \mu^{\mathbf{A}} &\models \varphi \\ \mu^{\mathbf{A}} &\models \text{NNF}(\varphi) \\ \mu^{\mathbf{A}} &\models \exists \mathbf{B}. \text{CNF}_{\text{PG}}(\text{NNF}(\varphi)) \end{aligned}$$

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$$\mu^{\mathbf{A}} \models \varphi$$

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$$\mu^{\mathbf{A}} \models \exists \mathbf{B}. \text{CNF}_{\text{PG}}(\text{NNF}(\varphi))$$

E.g.

$$\eta^{\mathbf{B}} \stackrel{\text{def}}{=} \{ B_7, B_5, B_6, B_2^-, B_4^-, \dots \}$$

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E.g.

$$\eta^{\mathbf{B}} \stackrel{\text{def}}{=} \{ B_7, B_5, B_6, B_2^-, B_4^-, \neg B_1, \neg B_3^-, \neg B_3^+, \neg B_2^+, \neg B_4^+ \}$$

Combining NNF and Plaisted and Greenbaum CNF

NNF + CNF_{PG} allows for assigning “**don’t care**” value also to φ_i s with **double polarity**:

- ▶ $\varphi_i^+ \stackrel{\text{def}}{=} \text{NNF}(\varphi_i)$ and $\varphi_i^- \stackrel{\text{def}}{=} \text{NNF}(\neg\varphi_i)$ occur only positively in $\text{NNF}(\varphi)$

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Theorem (see extended version of this paper ³)

$$\mu^{\mathbf{A}} \models \varphi \implies \mu^{\mathbf{A}} \models \exists \mathbf{B}. \text{CNF}_{\text{PG}}(\text{NNF}(\varphi))$$

i.e., there exists $\eta^{\mathbf{B}}$ s.t. $\mu^{\mathbf{A}} \cup \eta^{\mathbf{B}} \models \text{CNF}_{\text{PG}}(\text{NNF}(\varphi))$

- ▶ the viceversa holds trivially

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$\eta^{\mathbf{B}}$ is defined as follows:

- ▶ if φ_i is made \top by $\mu^{\mathbf{A}}$, then set $\eta^{\mathbf{B}}(B_i^+) = \top$ and $\eta^{\mathbf{B}}(B_i^-) = \perp$
- ▶ if φ_i is made \perp by $\mu^{\mathbf{A}}$, then set $\eta^{\mathbf{B}}(B_i^+) = \perp$ and $\eta^{\mathbf{B}}(B_i^-) = \top$
- ▶ otherwise, set $\eta^{\mathbf{B}}(B_i^+) = \eta^{\mathbf{B}}(B_i^-) = \perp$

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- ▶ The result is agnostic w.r.t. the disjoint-AllSAT algorithm adopted.

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Remarks

- ▶ The result is agnostic w.r.t. the disjoint-AllSAT algorithm adopted.
- ▶ The result does not guarantee that the enumeration procedure always finds these extended models, but only that these models exist.

Theorem (see extended version of this paper)

$$\mu^A \models \varphi \implies \mu^A \models \exists \mathbf{B}. \text{CNF}_{\text{PG}}(\text{NNF}(\varphi))$$

i.e., there exists η^B s.t. $\mu^A \cup \eta^B \models \text{CNF}_{\text{PG}}(\text{NNF}(\varphi))$

- ▶ the viceversa holds trivially

η^B is defined as follows:

- ▶ if φ_i is made \top by μ^A , then set $\eta^B(B_i^+) = \top$ and $\eta^B(B_i^-) = \perp$
- ▶ if φ_i is made \perp by μ^A , then set $\eta^B(B_i^+) = \perp$ and $\eta^B(B_i^-) = \top$
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 - Approximated by instructing the solver to split on negative value first

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Combining NNF and Plaisted and Greenbaum CNF

Remarks

- ▶ The result is agnostic w.r.t. the disjoint-AllSAT algorithm adopted.
- ▶ The result does not guarantee that the enumeration procedure always finds these extended models, but only that these models exist.
 - Approximated by instructing the solver to split on negative value first
 - Ad-hoc heuristics should be investigated.

Theorem (see extended version of this paper)

$$\mu^A \models \varphi \implies \mu^A \models \exists \mathbf{B}. \text{CNF}_{\text{PG}}(\text{NNF}(\varphi))$$

i.e., there exists η^B s.t. $\mu^A \cup \eta^B \models \text{CNF}_{\text{PG}}(\text{NNF}(\varphi))$

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Outline

1. Preliminaries
2. Problems of CNF-ization for AllSAT
3. Our solution
4. Experimental results
5. Conclusions & Future Work

Experimental settings

Settings

- ▶ We implemented each CNF-ization algorithm
- ▶ We use MATHSAT to perform projected enumeration
 - forced to split on negative value first in decision branches

Problem sets

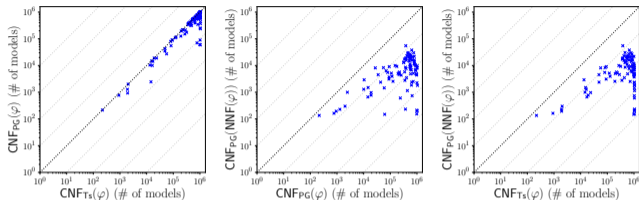
- ▶ **Synthetic benchmarks**: random Boolean formulas generated by nesting Boolean operators up to a fixed depth
- ▶ Real-world-inspired benchmarks:
 - Problems encoding properties **ISCAS'85 circuits** as in ⁴
 - **Weighted Model Integration** instances as in ⁵

⁴A. T. Tibebu and G. Fey (2018). “Augmenting All Solution SAT Solving for Circuits with Structural Information”. In: DDECS

⁵G. Spallitta, G. Masina, P. Morettin, A. Passerini, and R. Sebastiani (2022). “SMT-based Weighted Model Integration with Structure Awareness”. In: UAI

Experimental results - Synthetic benchmark

of models:



Execution time:

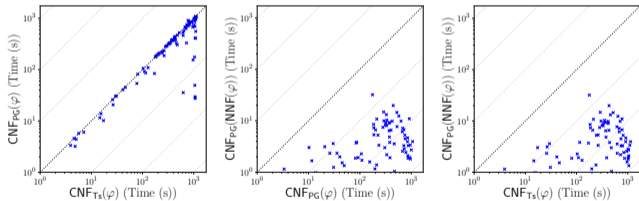
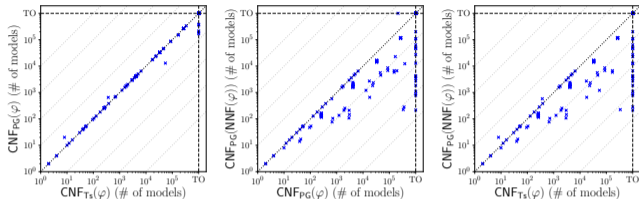


Figure 1: 100 instances, each with 20 Boolean atoms and depth 8.

All the axes are on a logarithmic scale. In these problems, there were no timeouts.

Experimental results - Circuits from ISCAS'85

of models:



Execution time:

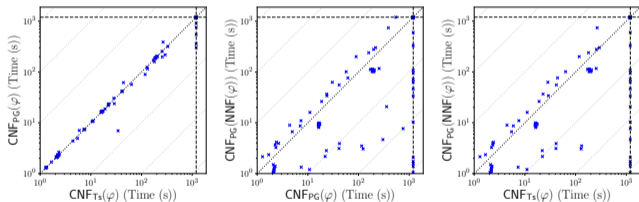
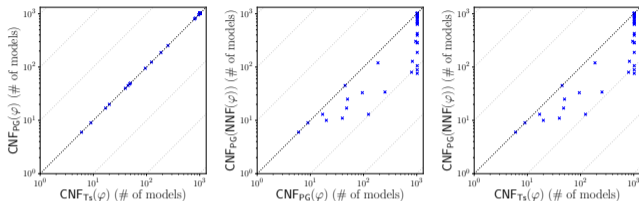


Figure 2: 250 instances, circuits have from 32 to 202 inputs.

All the axes are on a logarithmic scale. In these problems, the CNF_{Ts} , CNF_{PG} and $\text{NNF} + \text{CNF}_{\text{PG}}$ reported 49, 44 and 27 timeouts, respectively, represented by the points on the dashed lines.

Experimental results - Weighted Model Integration

of models:



Execution time:

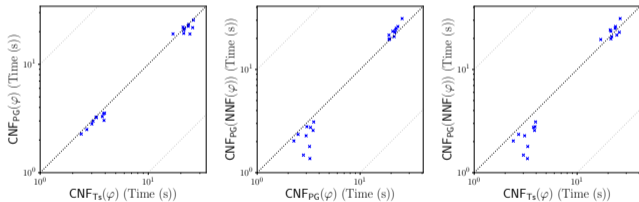


Figure 3: 40 instances, 10 Boolean variables, depths 3, 5, 7, 9.

All the axes are on a logarithmic scale. In these problems, there were no timeouts.

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Conclusions

We have presented a theoretical and empirical analysis of the impact of different CNF-ization approaches on SAT enumeration:

- ▶ CNF_{TS} and CNF_{PG} prevent the solver from producing *minimal* partial assignments
- ▶ $\text{NNF} + \text{CNF}_{\text{PG}}$ can fully overcome the problem and drastically reduce the number of partial assignments and the execution time

Ongoing Work

- ▶ Study the impact of CNF-ization on:
 - non-disjoint SAT enumeration
 - disjoint SMT enumeration
 \implies applications in WMI and #SMT
- ▶ Elaborate novel disjoint AllSAT/AllSMT procedures to best exploit this encoding

Thanks for your attention!

Let $\mathbf{A} \stackrel{\text{def}}{=} \{A_1, \dots, A_4\}$, $\mathbf{B} \stackrel{\text{def}}{=} \{B_1, \dots, B_3\}$

$$\varphi(\mathbf{A} \cup \mathbf{B}) \stackrel{\text{def}}{=} (\neg B_1 \vee A_1) \wedge (\neg B_1 \vee A_1) \wedge (\neg B_2 \vee A_3) \wedge (\neg B_2 \vee A_4) \wedge \\ (\neg B_3 \vee A_2 \vee B_2) \wedge (B_1 \vee B_2)$$

⁶S. K. Lahiri, R. Nieuwenhuis, and A. Oliveras (2006). “SMT Techniques for Fast Predicate Abstraction”. In: CAV

⁷A. Cimatti, A. Griggio, B. J. Schaafsma, and R. Sebastiani (2013). “The MathSAT5 SMT Solver”. In: TACAS

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A simple enumeration strategy ⁶ (e.g. in MATHSAT ⁷):

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1. find a total truth assignment $\eta \stackrel{\text{def}}{=} \eta^{\mathbf{A}} \cup \eta^{\mathbf{B}}$ s.t. $\eta \models \varphi$, e.g.:

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2. find a minimal $\mu^{\mathbf{A}} \subseteq \eta^{\mathbf{A}}$ s.t. $\mu^{\mathbf{A}} \cup \eta^{\mathbf{B}} \models \varphi$, e.g.:

$$\mu \stackrel{\text{def}}{=} \underbrace{\{A_1, A_2\}}_{\mu^{\mathbf{A}}} \cup \underbrace{\{B_1, \neg B_3, \neg B_2\}}_{\eta^{\mathbf{B}}}$$

⁶S. K. Lahiri, R. Nieuwenhuis, and A. Oliveras (2006). “SMT Techniques for Fast Predicate Abstraction”. In: CAV

⁷A. Cimatti, A. Griggio, B. J. Schaafsma, and R. Sebastiani (2013). “The MathSAT5 SMT Solver”. In: TACAS

Let $\mathbf{A} \stackrel{\text{def}}{=} \{A_1, \dots, A_4\}$, $\mathbf{B} \stackrel{\text{def}}{=} \{B_1, \dots, B_3\}$

$$\varphi(\mathbf{A} \cup \mathbf{B}) \stackrel{\text{def}}{=} (\neg B_1 \vee A_1) \wedge (\neg B_1 \vee A_1) \wedge (\neg B_2 \vee A_3) \wedge (\neg B_2 \vee A_4) \wedge \\ (\neg B_3 \vee A_2 \vee B_2) \wedge (B_1 \vee B_2) \wedge (\neg A_1 \vee \neg A_2)$$

A simple enumeration strategy ⁶ (e.g. in MATHSAT ⁷):

1. find a total truth assignment $\eta \stackrel{\text{def}}{=} \eta^{\mathbf{A}} \cup \eta^{\mathbf{B}}$ s.t. $\eta \models \varphi$, e.g.:

$$\eta \stackrel{\text{def}}{=} \underbrace{\{A_1, A_2, A_3, A_4\}}_{\eta^{\mathbf{A}}} \cup \underbrace{\{B_1, \neg B_3, \neg B_2\}}_{\eta^{\mathbf{B}}}$$

2. find a minimal $\mu^{\mathbf{A}} \subseteq \eta^{\mathbf{A}}$ s.t. $\mu^{\mathbf{A}} \cup \eta^{\mathbf{B}} \models \varphi$, e.g.:

$$\mu \stackrel{\text{def}}{=} \underbrace{\{A_1, A_2\}}_{\mu^{\mathbf{A}}} \cup \underbrace{\{B_1, \neg B_3, \neg B_2\}}_{\eta^{\mathbf{B}}}$$

3. Learn the blocking clause $\neg \mu^{\mathbf{A}}$ to ensure the disjointness and continue the search

⁶S. K. Lahiri, R. Nieuwenhuis, and A. Oliveras (2006). “SMT Techniques for Fast Predicate Abstraction”. In: CAV

⁷A. Cimatti, A. Griggio, B. J. Schaafsma, and R. Sebastiani (2013). “The MathSAT5 SMT Solver”. In: TACAS

Minimization procedure

Algorithm 1 MINIMIZE-ASSIGNMENT($\psi_i, \eta_i, \mathbf{A}$) // $\psi_i \stackrel{\text{def}}{=} \psi \wedge \bigwedge_{j=1}^{i-1} \neg \mu_j^{\mathbf{A}}$, $\eta_i = \eta_i^{\mathbf{A}} \cup \eta_i^{\mathbf{B}}$

```
 $\mu_i^{\mathbf{A}} \leftarrow \eta_i^{\mathbf{A}}$   
for  $\ell \in \mu_i^{\mathbf{A}}$  do  
  if  $\psi_i|_{\mu_i^{\mathbf{A}} \setminus \{\ell\} \cup \eta_i^{\mathbf{B}}} = \top$  then  
     $\mu_i^{\mathbf{A}} \leftarrow \mu_i^{\mathbf{A}} \setminus \{\ell\}$   
return  $\mu_i^{\mathbf{A}}$ 
```

Iteratively drop literals one-by-one from $\eta_i^{\mathbf{A}}$, checking if it still satisfies the formula.

Each minimization step is $O(\#clauses \cdot \#vars)$

NNF DAG

Property

If $\text{NNF}(\varphi)$ is represented as a DAG, then its size is linear w.r.t. the size of φ .

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Intuition:

- ▶ for each subformula φ_i , the DAG has at most 2 nodes for $\text{NNF}(\varphi_i)$ and $\text{NNF}(\neg\varphi_i)$ (positive and negative occurrences of φ_i resp.)
- ▶ nodes shared by up to exponentially-many branches generated by expanding nested \leftrightarrow

NNF DAG

Property

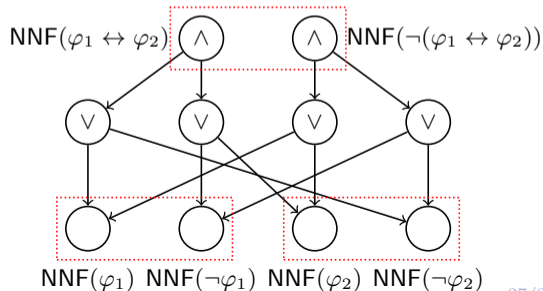
If $\text{NNF}(\varphi)$ is represented as a DAG, then its size is linear w.r.t. the size of φ .

Intuition:

- ▶ for each subformula φ_i , the DAG has at most 2 nodes for $\text{NNF}(\varphi_i)$ and $\text{NNF}(\neg\varphi_i)$ (positive and negative occurrences of φ_i resp.)
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Proof sketch

- ▶ Prove that the 2-root DAG for the pair $\langle \text{NNF}(\varphi), \text{NNF}(\neg\varphi) \rangle$ grows linearly w.r.t. $|\varphi|$
- ▶ E.g., if $\varphi \stackrel{\text{def}}{=} \varphi_1 \leftrightarrow \varphi_2$, then
$$|\langle \text{NNF}(\varphi), \text{NNF}(\neg\varphi) \rangle| = 18 + |\langle \text{NNF}(\varphi_1), \text{NNF}(\neg\varphi_1) \rangle| + |\langle \text{NNF}(\varphi_2), \text{NNF}(\neg\varphi_2) \rangle|$$



Size of $\text{CNF}_{\text{PG}}(\text{NNF}(\varphi))$

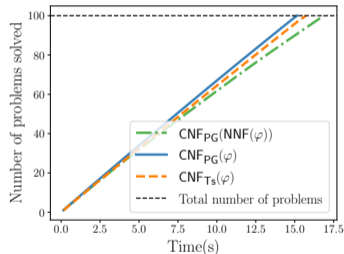
- ▶ The NNF DAG contains at most 2 nodes for each subformula φ_i of φ .
- ▶ For each node of the NNF DAG —representing the sub-formula φ_i — CNF_{PG} introduces:
 - One variable B_i
 - One or two clauses representing $(B_i \rightarrow \varphi_i)$

Hence, the size of $\text{CNF}_{\text{PG}}(\text{NNF}(\varphi))$ is linear w.r.t. the size of φ .

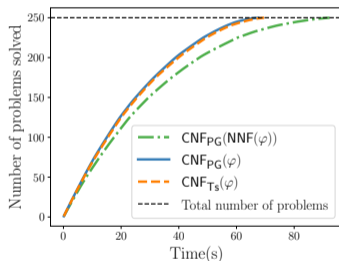
Results for SAT solving

Remark

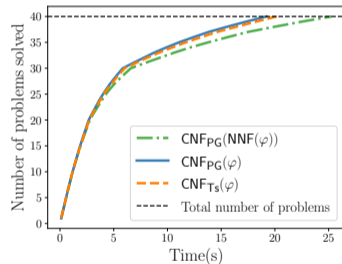
The pre-conversion into NNF is typically not used in SAT *solving*, because the unnecessary duplication of the labels B_i^+ and B_i^- causes extra overhead and no benefit for the solver.



(a) Synthetic benchmarks



(b) Circuit benchmarks



(c) WMI benchmarks

Figure 4: The y -axis reports the instances for which the solver finished within the cumulative time on the x -axis.

Disjoint AllSAT/AllSMT applications

#SMT(\mathcal{LRA})

Given an SMT(\mathcal{LRA}) formula $\varphi(\mathbf{A}, \mathbf{x})$ ⁸

$$\#SMT(\mathcal{LRA})(\varphi|\mathbf{A}, \mathbf{x}) \stackrel{\text{def}}{=} \sum_{\mu^{\mathbf{A}} \cup \mu^{\mathcal{LRA}} \in TA(\varphi)} \left(2^{|\mathbf{A}| - |\mu^{\mathbf{A}}|} \cdot vol(\mu^{\mathcal{LRA}}) \right)$$

Weighted Model Integration

Given an SMT(\mathcal{LRA}) formula $\varphi(\mathbf{A}, \mathbf{x})$ and a weight function $w(\mathbf{A}, \mathbf{x})$ ^{9 10 11}

$$WMI(\varphi, w|\mathbf{A}, \mathbf{x}) \stackrel{\text{def}}{=} \sum_{\mu^{\mathbf{A}} \cup \mu^{\mathcal{LRA}} \in TA(\varphi)} \left(2^{|\mathbf{A}| - |\mu^{\mathbf{A}}|} \cdot \int_{\mu^{\mathcal{LRA}}} w(\mathbf{x}|\mathbf{A}) d\mathbf{x} \right)$$

⁸D. Chistikov et al. (2015). “Approximate Counting in SMT and Value Estimation for Probabilistic Programs”. In: TACAS

⁹P. Morettin et al. (2017). “Efficient Weighted Model Integration via SMT-Based Predicate Abstraction”. In: IJCAI

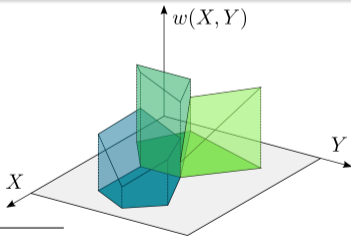
¹⁰P. Morettin et al. (2019). “Advanced SMT techniques for Weighted Model Integration”. In: AIJ

¹¹G. Spallitta et al. (2022). “SMT-based Weighted Model Integration with Structure Awareness”. In: UAI

Weighted Model Integration

Given an $\text{SMT}(\mathcal{LRA})$ formula $\varphi(\mathbf{A}, \mathbf{x})$ and a weight function $w(\mathbf{A}, \mathbf{x})$ ^{12 13 14}

$$\text{WMI}(\varphi, w | \mathbf{A}, \mathbf{x}) \stackrel{\text{def}}{=} \sum_{\mu^{\mathbf{A}} \cup \mu^{\mathcal{LRA}} \in \mathcal{TA}(\varphi)} \left(2^{|\mathbf{A}| - |\mu^{\mathbf{A}}|} \cdot \int_{\mu^{\mathcal{LRA}}} w(\mathbf{x} | \mathbf{A}) d\mathbf{x} \right)$$











¹²P. Morettin, A. Passerini, and R. Sebastiani (2017). “Efficient Weighted Model Integration via SMT-Based Predicate Abstraction”. In: *IJCAI*

¹³P. Morettin, A. Passerini, and R. Sebastiani (2019). “Advanced SMT techniques for Weighted Model Integration”. In: *AIJ*

¹⁴G. Spallitta, G. Masina, P. Morettin, A. Passerini, and R. Sebastiani (2022). “SMT-based Weighted Model Integration with Structure Awareness”. In: *UAI*

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